

REGISTER OF EXTERNAL AUDITORS REPORTS (since 2009)

No.	Title of the report	Service Field	Audit Body	Date of the response to draft	Copy of the report	Date of accepting the final report and contact audit individual person	Responsibility for Action/contact person in the Council	Internal steps with raising awareness (management group, Leadership group)	Nature of contact with members (copy only, submit to a scrutiny committee [&date], Board [&date])	Implementing recommendations included in the business plan.	Monitoring progress	Annual (A) National (N) Others (O)
1	Gwynedd Council Annual Improvement Report 2009/10	3 Year Plan / the Council's Self assessment	Wales Audit Office	Meetings and phone discussions, final published on 27/01/2011	http://www.wao.gov.uk/assets/englishdocuments/GwyneddCC_AIR_English.pdf	17/01/2011 Huw Lloyd Jones	Vera Jones	Leadership Group 19/01/2011 Publish on the website - 27/01/2011	A letter inviting all members to a presentation - 08/02/2011 Hard copies for all members - 23/03/11 A Presentation for Board Members, The Audit Committee, Chairs and Vice-chairs of the Scrutiny Committees, Chairman and Vice-chairman of the Council, Heads of	Transformation Programme Board, Strategic and Improvement Business Plan.	Three Year Plan	A
2	Gwynedd Council Annual Improvement Report 2010/11	3 Year Plan / the Council's Self assessment	Wales Audit Office	January 2012 Draft	http://www.wao.gov.uk/assets/englishdocuments/Gwynedd_AIR_English_2012.pdf	February 2012 Huw Lloyd Jones and John Roberts	Vera Jones	15/2/2012 Leadership Group	All Council members - meet at the time of the Board 6/3/12			A
3	Inspection, Evaluation and Review of Local Authorities' Social Services 2009-10.	Social Services	Care and Social Services Inspectorate Wales		http://wales.gov.uk/cssiws/site/newcssi/publications/lareviews/0910/gwynedd?skip=1&lang=cy	22/12/2010	Gwen Carrington		Care Scrutiny Committee 07/04/11; Children and Young People Scrutiny Committee 12/04/11.	Housing and Social Services Business Plan	Housing and Social Services Business Plan	A
4	Inspection and Evaluation of Annual Performance 2010/2011	Social Services	Care and Social Services InspectorateWales		http://wales.gov.uk/cssiws/site/newcssi/publications/lareviews/2011/5530270?ang=en	Imelda Richardson 31/10/2011	Gwen Carrington	Care Programme Board 8/11/11 Care Working Group 25/11/11	Care Scrutiny Committee 29/11/11		Action Plan. Meeting with the Minister 13/3/12	A
5	Inspection and Evaluation of Annual Performance 2011/2012	Social Services	Care and Social Services InspectorateWales		http://wales.gov.uk/cssiws/site/newcssi/publications/lareviews/20112/gwynedd/?sessionid=EC229EDBD0E1C738EBEAF74E5C03AF2jsessionid=36029154CA0DF52AAEE55A361F7562E27&skip=1&lang=en	Imelda Richardson 31/10/2012	Morwena Edwards				Housing and Social Services Business Plan	A
6	Gwynedd Council Preliminary Corporate Assessment	The Council	Wales Audit Office	13/08/2010	2010-09-30 2010-09-30 Cyngor Gwynedd	02/09/2010 Huw Lloyd Jones and Alan Morris	Geraint George	Leadership Group 24/9/2010	Principal Scrutiny Committee 30/9/2010			A
7	Gwynedd Council Preliminary Corporate Assessment	The Council	Wales Audit Office		Asesiad Corfforaethol Swyddfa Archwilio	06/10/2011 Huw Lloyd Jones	Geraint George	Leadership Group 07/09/2011	Principal Scrutiny Committee 6/10/11			A
8	Welsh Public Libraries Standards Framework	Libraries and Information	CyMAL		http://wales.gov.uk/docs/drah/publications/110223wpls2101112en.pdf	21/01/2012	Hywel James	Portfolio Leader and Head of Department	Preparatory Meeting for the Scrutiny Committee on 1/9/2011 for performance matters (conditional on the Scrutiny Manager and the Scrutiny Working Group), Council Board for financial matters. The Preparatory Meeting decided that there was no need to submit it to the	Library and Information Service Business Plan	Library and Information Service Business Plan	A
10	An Assessment of Internal Audit 2008/2009	Internal Audit	Wales Audit Office		http://www.gwynedd.gov.uk/ADNPwylgor/au/2009/Pwylgor%20Archwilio/2009-06-29/english/13_01_Wales%20Audit%20of%20Review%20of%20Gwynedd%20Council%20Internal%20Audit%20Service.pdf	22/6/2009, Amanda Hughes	Dewi Morgan	Cascade within Internal Audit	Audit Committee 29/6/2009		Annual Report of the Head of Internal Audit 2009/10, Audit Committee 8/7/2010	A
11	An Assessment of Internal Audit 2009/2010	Internal Audit	Wales Audit Office	25/06/2010	http://www.gwynedd.gov.uk/ADNPwylgor/au/2010/Pwylgor%20Archwilio/2010-07-08/english/11_01_Wales%20Audit%20of%20Review%20of%20Gwynedd%20Council%20-%20Internal%20Audit%20Service.pdf	25/6/2010, Amanda Hughes	Dewi Morgan	Cascade within Internal Audit	Audit Committee 8/7/2010		Annual Report of the Head of Internal Audit 2010/11, Audit Committee 12/7/2011	A
12	An Assessment of Internal Audit 2010/2011	Internal Audit	Wales Audit Office	02/06/2011	http://www.gwynedd.gov.uk/ADNPwylgor/au/2011/Pwylgor%20Archwilio/2011-07-12/english/11_01_Assessment%20of%20Internal%20Audit%202010-11.pdf	6/6/2011, Amanda Hughes	Dewi Morgan	Cascade within Internal Audit	Audit Committee 12/7/2011		Annual Report of the Head of Internal Audit 2011/12, Audit Committee 12/7/2012	A
13	An Assessment of Internal Audit 2011/2012	Internal Audit	Wales Audit Office	12/06/2012	http://www.gwynedd.gov.uk/ADNPwylgor/au/2012-13/Pwylgor%20Archwilio/2012-07-12/english/15_01_Wales%20Audit%20of%20Review%20of%20Gwynedd%20Council%20Internal%20Audit%20Service.pdf	6/6/2012, Amanda Hughes	Dewi Morgan	Cascade within Internal Audit	Audit Committee 12/7/2012		No recommendations	A
14	Audit of Financial Statements Report - Gwynedd Council 2010-11	Finance	Wales Audit Office		http://www.gwynedd.gov.uk/ADNPwylgor/au/2011/Pwylgor%20Archwilio/2011-09-29/english/05_04_(b)(i)%20Gwynedd%20Council%20Financial%20Statements.pdf	September 2011, Anthony Barrett	Dafydd L Edwards		Audit Committee 29 September 2011			A
15	Audit of the Financial Statements Report - Gwynedd Pension Fund 2010-11	Finance	Wales Audit Office		http://www.gwynedd.gov.uk/ADNPwylgor/au/2011/Pwylgor%20Archwilio/2011-09-29/english/05_05_(c)(i)%20Statement%20of%20Accounts%20for%20the%20Pension%20Fund.pdf	September 2011, Anthony Barrett	Dafydd L Edwards		Audit Committee 29 September 2011			A
16	Financial Audit Outline - Gwynedd Council	Finance	Wales Audit Office			May 2011, Anthony Barrett	Dafydd L Edwards	Officer attention within the Finance Department				A
17	Financial Audit Outline - Gwynedd Pension Fund	Finance	Wales Audit Office			May 2011, Anthony Barrett	Dafydd L Edwards	Officer attention within the Finance Department				A
18	Audit of the Accounting Statements -Gwynedd Council 2009-10	Finance	Wales Audit Office		http://www.gwynedd.gov.uk/ADNPwylgor/au/2010/Pwylgor%20Archwilio/2010-09-27/english/07_03_(b)%20Audit%20of%20the%20Accounting%20Statements.pdf	September 2010, Ceri Stradling	Dafydd L Edwards		Audit Committee 27 September 2010			A

19	Audit of Pension Fund Accounts 2009-10	Finance	Wales Audit Office		2010-09-27 2010-09-27 Gwynedd Council	September 2010, Ceri Stradling	Dafydd L Edwards		Audit Committee 27 September 2010				A
20	Annual Letter for 2008-09	Finance	Wales Audit Office		http://www.wao.gov.uk/assets/Local_Reports/Gwynedd_Council_Annual_Letter_for_2008-09.pdf	December 2009, Kevin Thomas & Huw Lloyd Jones	Arwel Ellis Jones						A
21	Annual Audit Letter for members of Gwynedd Pension Fund	Finance	Wales Audit Office			November 2009, Kevin Thomas & Amanda Hughes	Dafydd L Edwards	Officer attention within the Finance Department					A
22	Audit of Accounting Statements - Report to those charged with Governance - Gwynedd Council 2008-09	Finance	Wales Audit Office		http://www.gwynedd.gov.uk/ADNPwylgor/au/2009/Pwylgor%20Archwilio/2009-09-28/english/05_03_(ii)%20Audit%20of%20Accounting%20Statements%20-%20Report%20to%20those%20charged%20with%20governance%20-%20Gwynedd%20Council.pdf	September 2009, Kevin Thomas & Amanda Hughes	Dafydd L Edwards		Audit Committee 28 September 2009				A
23	Audit of Pension Fund accounts - Report to those charged with Governance 2008-09	Finance	Wales Audit Office		http://www.gwynedd.gov.uk/ADNPwylgor/au/2009/Pwylgor%20Archwilio/2009-09-28/english/05_04_(iii)%20Audit%20of%20pension%20fund%20accounts%20-%20report%20to%20those%20charged%20with%20governance%20-%20Gwynedd%20Pension%20Fund.pdf	September 2009, Kevin Thomas & Amanda Hughes	Dafydd L Edwards		Audit Committee 28 September 2009				A
24	Pension Fund Audit Strategy 2008-09	Finance	Wales Audit Office			March 2009, Kevin Thomas & Amanda Hughes	Dafydd L Edwards	Officer attention within the Finance Department					A
27	Improvement Assessment by Wales Audit Office	The Council	Wales Audit Office		First Letter received. To come - 2nd letter and final report. The final report will be presented to the Audit Committee.	September 2012, Huw V thomas, Huw Lloyd Jones & Nigel Griffiths	Harry Thomas	Officer attention within the Performance Improvement Unit on 19/9/12.					A
28	Annual Financial Audit Outline 2011/12 of Gwynedd Council	Finance	Wales Audit Office		http://www.gwynedd.gov.uk/ADNPwylgor/au/2012-13/Pwylgor%20Archwilio/2012-07-12/english/10_01_Annual%20Financial%20Audit%20Outline%202011-12%20of%20Gwynedd%20Council.pdf	01/06/2012, Amanda Hughes, Derwyn Owen and Alan Hughes	Dafydd L Edwards		Audit Committee 12 July 2012				A
29	Annual Financial Audit Outline 2011/12 of the Gwynedd Pension Fund	Finance	Wales Audit Office		http://www.gwynedd.gov.uk/ADNPwylgor/au/2012-13/Pwylgor%20Archwilio/2012-07-12/english/11_01_Annual%20Financial%20Audit%20Outline%202011-12%20of%20the%20Gwynedd%20Pension Fund.pdf	June 2012, Derwyn Owen, Amanda Hughes and Simon Monkhouse	Dafydd L Edwards		Audit Committee 12 July 2012				A
30	An Assessment of Internal Audit 2011/12	Finance	Wales Audit Office		http://www.gwynedd.gov.uk/ADNPwylgor/au/2012-13/Pwylgor%20Archwilio/2012-07-12/english/15_01_Wales%20Audit%20Office%20Review%20of%20Gwynedd%20Council%20Internal%20Audit%20Service.pdf		Dafydd L Edwards		Audit Committee 12 July 2012				A
31	Audit of Financial Statements Report - Gwynedd Council 2011-12	Finance	Wales Audit Office		2012-09-27 2012-09-27 Gwynedd Council	September 2012, Anthony Barret	Dafydd L Edwards		Audit Committee 27 September 2012				A
33	Quality and standards in education and training in Wales: A report on quality - Gwynedd Local Authority in 2008 and 2009 report including Youth Services	Education	Estyn		http://www.gwynedd.gov.uk/ADNPwylgor/au/2010/Pwylgorau%20Craffu/Plant%20%20Phobl%20fanc/2010-05-24/english/08_02_Appendix%201%20-%20Report%20by%20ESTYN.pdf	Nov-09	Dewi R Jones	Portfolio Leader	Children and Young People Scrutiny Committee, 24 May 2010	Action Plan, 24 May 2010	Departmental Business Plan		O
34	Inspection of the Protection of Adults in Gwynedd Council	Social Services	Care and Social Services Inspectorate Wales	Dec-09	http://wales.gov.uk/docs/cssiw/report/100118gwynedden.pdf	Huw Lloyd Jones from the Wales Audit Office	Nerys Evans January 2010		Portfolio Leader. Care Scrutiny Committee 28/6/11: part of the Protection Of Vulnerable Adults Annual Report				O
35	Inspection of the arrangements for assessing and managing the care of children in need in Gwynedd. It was inspected again in March 2011.	Social Services	Care and Social Services Inspectorate Wales	Apr-09	http://wales.gov.uk/docs/cssiw/report/110607gwynedden.pdf	Mark Roberts	Sharron Carter Williams		May-11	Marian Parry Hughes	Gwynedd and Anglesey Safeguarding Children Board 21 October 2011		O
37	Review Gwynedd Council's Safeguarding Children Arrangements	Social Services	Care and Social Services Inspectorate Wales		http://wales.gov.uk/docs/cssiw/publication/gwyneddsr/090925gwyneddsren.pdf	Oct-09	Ian Vaughan Roberts	The report and its contents has been shared with each member of staff within the Children and Families Services through a series of meetings between the teams and the Senior Manager.	2009-2010 Annual Report of the Gwynedd and Anglesey Local Safeguarding Children Board and the members of this Board are also members of the Children and Young People Framework Partnership, Community Safety Partnership and the Health, Care and Welfare Partnership. Gwynedd and Anglesey Local Safeguarding Children Board's Website.	Gwynedd and Anglesey Local Safeguarding Children Board's Business Plans. The Social Service Business Plan.	Gwynedd and Anglesey Local Safeguarding Children Board's Business Plans. The Social Service Business Plan.		O
38	Review Gwynedd Local Safeguarding Children Board	Social Services	Care and Social Services Inspectorate Wales		http://wales.gov.uk/docs/cssiw/publication/gwyneddlscb/091006gwyneddlscben.pdf	Oct-09	Ian Vaughan Roberts	The report and its contents has been shared with each member of staff within the Children and Families Services through a series of meetings between the teams and the Senior Manager.	2009-2010 Annual Report of the Gwynedd and Anglesey Local Safeguarding Children Board and the members of this Board are also members of the Children and Young People Framework Partnership, Community Safety Partnership and the Health, Care and Welfare Partnership. Gwynedd and Anglesey Local Safeguarding Children Board's Website.	Gwynedd and Anglesey Local Safeguarding Children Board's Business Plans. The Social Service Business Plan.	Gwynedd and Anglesey Local Safeguarding Children Board's Business Plans. The Social Service Business Plan.		O
40	Gwynedd Fostering Service	Social Services	Care and Social Services Inspectorate Wales			Shirley Harkins January 2010	Mari Thomas			Social Services Business Plan 2010/11	Children and Young People Scrutiny Committee - progress report against recommendations presented on 30/11/2010.		O
41	Inspection of Gwynedd County Council Adoption Services within the wider framework of planning for permanence	Social Services	Care and Social Services Inspectorate Wales			Denise Stickels, Shirley Harkins, Shirley Cox November 2010	Mari Thomas						O
42	Follow up review of Performance Management Arrangements in Social Services - Gwynedd Council	Social Services	Wales Audit Office			Nigel Griffiths March 2010	Gwen Carrington						O

