Title of the report	Service Field	Audit Body	Date of the response to draft	Copy of the report	Date of accepting the final report and contact audit individual persor		Internal steps with raising awareness (management I group, Leadership group)	Nature of contact with members (copy only, submit to a scrutiny committee [&date], Board [&date]	Implementing recommendations included in the business plan.	Monitoring progress	Annual (A
Gwynedd Council Annual	3 Year Plan / the Council's	Wales Audit Office	Meetings and phone	http://www.waq.gov.uk/assats/anglishdor	17/01/2011 Huw	Vera Jones	Leaderchin Croup 19/01/2011	A letter inviting all members to a presentation -	Transformation	Three Year Plan	
Improvement Report 2009/10		wales Addit Office	discussions, final published on 27/01/2011	http://www.wao.gov.uk/assets/englishdoc uments/GwyneddCC_AIR_English.pdf	Lloyd Jones	vera Julies	Publish on the website - 27/01/2011	A fection living an interface's to a presentation - 08/02/2011 Hard copies for all members - 23/03/11 A Presentation for Board Members, The Audit Committee Chairs and Vice-chairs of the Scrutiny Committees, Chairman and Vice-chairman of the Council, Heads of	Programme Board. Strategic and	Tillee Teal Fiall	А
2 Gwynedd Council Annual Improvement Report 2010/11	3 Year Plan / the Council's Self assessment	Wales Audit Office	January 2012 Draft	http://www.wao.gov.uk/assets/englishdoo uments/Gwynedd AIR English 2012.pd	February 2012 Huw Lloyd Jones and John Roberts	Vera Jones	15/2/2012 Leadership Group	All Council members - meet at the time of the Board 6/3/12			А
3 Inspection, Evaluation and Review of Local Authorities' Social Services 2009-10.	Social Services	Care and Social Services Inspectorate Wales		http://wales.gov.uk/cssiwsubsite/newcssi w/publications/lareviews/0910/gwynedd/ skip=1⟨=cy	22/12/2010	Gwen Carrington		Care Scrutiny Committee 07/04/11; Children and Young People Scrutiny Committee 12/04/11.	Housing and Social Services Business Plan	Housing and Social Services Business Plan	A
4 Inspection and Evaluation of Annual Performance 2010/2011	Social Services	Care and Social Services InspectorateWales		http://wales.gov.uk/cssiwsubsite/newcssi w/publications/lareviews/2011/5530270/? ang=en	Imelda Pl Richardson 31/10/2011	Gwen Carrington	Care Programme Board 8/11/11 Care Working Group 25/11/11	Care Scrutiny Committee 29/11/11		Action Plan. Meeting with the Minister 13/3/12	A
5 Inspection and Evaluation of Annual Performance 2011/2012	Social Services	Care and Social Services InspectorateWales		http://wales.gov.uk/cssiwsubsite/newcssi w/publications/lareviews/20112/gwynedd .jsessionid=EC229EDBDB0E1C738EBE/	/ Richardson	Morwena Edwards				Housing and Social Services Business Plan	
				F74E5C03AF2;jsessionid=36029154CA0 DF52AAEE55A361F7562E2?skip=1&lan =en	) <u>c</u>						A
6 Gwynedd Council Preliminary Corporate Assessment	The Council	Wales Audit Office	13/08/2010	2010-09-30   2010-09-30   Cyngor Gwynedd	02/09/2010 Huw Lloyd Jones and Alan Morris	Geraint George	Leadership Group 24/9/2010	Principal Scrutiny Committee 30/9/2010			А
7 Gwynedd Council Preliminary Corporate Assessment	The Council	Wales Audit Office		Asesiad Corfforaethol Swyddfa Archwilio	06/10/2011 Huw Lloyd Jones	Geraint George	Leadership Group 07/09/2011	Principal Scrutiny Committee 6/10/11			А
8 Welsh Public Libraries Standards Framework	Libraries and Information	CyMAL		http://wales.gov.uk/docs/drah/publication /110223wpls2101112en.pdf	s 21/01/2012	Hywel James	Portfolio Leader and Head of Department	Preparatory Meeting for the Scrutiny Committee on 1/9/2011 for performance matters (conditional on the Scrutiny Manager and the Scrutiny Working Group), Council Board for financial matters. The Preparatory Meeting decided that there was no need to submit it to the	Service Business Plan	Library and Information Service Business Plan	А
An Assessment of Internal Audit 2008/2009	Internal Audit	Wales Audit Office		http://www.gwynedd.gov.uk/ADNPwyllgo au/2009/Pwyllgor%20Archwilio/2009-06- 29/english/13_01_Wales%20Audit%20O ice%20Review%20df%20Gwynedd%20C ouncil%20Internal%20Audit%20Service.gdf	r 22/6/2009, Amanda Hughes ff	Dewi Morgan	Cascade within Internal Audit	Audit Committee 29/6/2009		Annual Report of the Head of Internal Audit 2009/10, Audit Committee 8/7/2010	А
11 An Assessment of Internal Audit 2009/2010	Internal Audit	Wales Audit Office	25/06/2010	http://www.qwynedd.gov.uk/ADNPwyllgo 8u/2010/Pwyllgor%20Archwilio/2010-07- 8u/english/11_01_Wales%20Audit%20O ice%20Review%20of%20Gwynedd%20C ouncil%20- %20Internal%20Audit%20Service.pdf	r 25/6/2010, Amanda Hughes	Dewi Morgan	Cascade within Internal Audit	Audit Committee 8/7/2010		Annual Report of the Head of Internal Audit 2010/11, Audit Committee 12/7/2011	A
12 An Assessment of Internal Audit 2010/2011	Internal Audit	Wales Audit Office	02/06/2011	http://www.gwynedd.gov.uk/ADNPwyligo au/2011/Pwyligor%20Archwilio/2011-07- 12/english/11_01_Assessment%20of%20 Internal%20Audit%202010-11.pdf		Dewi Morgan	Cascade within Internal Audit	Audit Committee 12/7/2011		Annual Report of the Head of Internal Audit 2011/12, Audit Committee 12/7/2012	A
13 An Assessment of Internal Audit 2011/2012	Internal Audit	Wales Audit Office	12/06/2012	2 http://www.gwynedd.gov.uk/ADNPwyllgo au/2012-13/Pwyllgor%20Archwilio/2012- 07- 12/english/15 01 Wales%20Audit%20O ice%20Review%20of%20Gwynedd%20C ouncil%20Internal%20Audit%20Service.g		Dewi Morgan	Cascade within Internal Audit	Audit Committee 12/7/2012		No recommendations	A
14 Audit of Financial Statements Report - Gwynedd Council 2010-11	Finance	Wales Audit Office		http://www.gwynedd.gov.uk/ADNPwyligo au/2011/Pwyligor%20Archwilio/2011-09- 29/english/05 04 (b)(i)%20Gwynedd%2l Council%20Financial%20Statements.pdf		Dafydd L Edwards		Audit Committee 29 September 2011			A
15 Audit of the Financial Statements Report - Gwynedd Pension Fund 2010-11	Finance	Wales Audit Office		http://www.gwynedd.gov.uk/ADNPwyllgo au/2011/Pwyllgor%20Archwilio/2011-09- 29/english/05_05_(c)(i)%20Statement%2 0of%20Accounts%20for%20the%20Pens on%20Fund.pdf	Anthony Barrett	Dafydd L Edwards		Audit Committee 29 September 2011			A
16 Financial Audit Outline - Gwynedd Council	Finance	Wales Audit Office			May 2011, Anthony Barrett	Dafydd L Edwards	Officer attention within the Finance Department				A
Financial Audit Outline - Gwynedd Pension Fund	Finance	Wales Audit Office			May 2011, Anthony Barrett	Dafydd L Edwards	Officer attention within the Finance Department				А
18 Audit of the Accounting Statements -Gwynedd Counc 2009-10	Finance	Wales Audit Office		http://www.gwynedd.gov.uk/ADNPwyllgo au/2010/Pwyllgor%20Archwilio/2010-09- 27/english/07_03_(b)%20Audit%20of%20 the%20Accounting%20Statements.pdf		Dafydd L Edwards		Audit Committee 27 September 2010			A

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Accounts 2009-10	Finance	Wales Audit Office		2010-09-27   2010-09-27   Gwynedd Council	September 2010, Ceri Stradling	Dafydd L Edwards		Audit Committee 27 September 2010			А
Annual Letter for 2008-09	Finance	Wales Audit Office		http://www.wao.gov.uk/assets/Local Reports/Gwynedd Council Annual Letter fo 2008-09.pdf		Arwel Ellis Jones					А
21 Annual Audit Letter for members of Gwynedd Pension Fund	Finance	Wales Audit Office			November 2009, Kevin Thomas & Amanda Hughes	Dafydd L Edwards	Officer attention within the Finance Department				Δ.
22 Audit of Accounting Statements - Report to those charged with Governance - Gwynedd Council 2008-09	Finance	Wales Audit Office		http://www.gwynedd.gov.uk/ADNPwyllgoi au/2009/Pwyllgor%20Archwilio/2009-09- 28/english/05_03_(ii)%20Audit%20of%20 Accounting%20Statements%20- %20Report%20to%20those%20charged %20with%20governance%20- %20Gwynedd%20Council.pdf	September 2009, Kevin Thomas &	Dafydd L Edwards		Audit Committee 28 Setpember 2009			A
23 Audit of Pension Fund accounts - Report to those charged with Governance 2008-09	Finance	Wales Audit Office		http://www.gwynedd.gov.uk/ADNPwyllgoi au/2009/Pwyllgor%20Archwilio/2009-02 28/english/D5 04 (iii)%20Audit%200f%20 pension%20fund%20accounts%20- %20report%20to%20those%20charged% 20with%20governance%20- %20Gwynedd%20Pension%20Fund.pdf	Kevin Thomas &	Dafydd L Edwards		Audit Committee 28 Setpember 2009			A
Pension Fund Audit Strategy 2008-09	Finance	Wales Audit Office			March 2009, Kevi Thomas & Amanda Hughes	n Dafydd L Edwards	Officer attention within the Finance Department				А
27 Improvement Assessment by Wales Audit Office	The Council	Wales Audit Office		First Letter received. To come - 2nd letter and final report. The final report wil be presented to the Audit Committee.	September 2012, I Huw V thomas, Huw Lloyd Jones & Nigel Griffiths	Harry Thomas	Officer attention within the Performance Improvement Unit on 19/9/12.				А
28 Annual Financial Audit Outline 2011/12 of Gwynedd Council	Finance	Wales Audit Office		http://www.gwynedd.gov.uk/ADNPwyllgoi au/2012-13/Pwyllgor%20Archwillo/2012- 07- 12/english/10_01_Annual%20Financial% 20Audlf%20Outline%202011- 12%200f%20Gwynedd%20Council.pdf	Derwyn Owen and	Dafydd L Edwards		Audit Committee 12 July 2012			А
29 Annual Financial Audit Outline 2011/12 of the Gwynedd Pension Fund	Finance	Wales Audit Office		http://www.gwynedd.gov.uk/ADNPwyllgor au/2012-13/Pwyllgor%20Archwilio/2012- 07-	June 2012, Derwyn Owen, Amanda Hughes and Simon Monkhouse	Dafydd L Edwards		Audit Committee 12 July 2012			А
30 An Assessment of Internal Audit 2011/12	Finance	Wales Audit Office		http://www.gwynedd.gov.uk/ADNPwyllgor au/2012-13/Pwyllgor%20Archwilio/2012- 07- 12/english/15 01 Wales%20Audit%20OI ice%20Review%20of%20Gwynedd%20C		Dafydd L Edwards		Audit Committee 12 July 2012			,
31 Audit of Financial Statements Report - Gwynedd Council 2011-12	Finance	Wales Audit Office		ouncil%20Internal%20Audit%20Service.g 2012-09-27   2012-09-27   Gwynedd Council	September 2012, Anthony Barret	Dafydd L Edwards		Audit Committee 27 September 2012			A
33 Quality and standards in education and training in Wales: A report on quality - Gwynedd Local Authority in 2008 and 2009 report including Youth Services	Education	Estyn		http://www.gwynedd.gov.uk/ADNPwyllgoi au/2010/Pwyllgorau%20Craffu/Plant%20, %20Phobl%20lfanc/2010-05- 24/english/08. 02. Appendix%201%20- %20Report%20by%20ESTYN.pdf	r Nov-09	Dewi R Jones	Portfolio Leader	Children and Young People Scrutiny Committee, 24 May 2010	Action Plan, 24 May 2010	Departmental Business Plan	C
34 Inspection of the Protection of Adults in Gwynedd Council	Social Services	Care and Social Services Inspectorate Wales	Dec-09	http://wales.gov.uk/docs/cssiw/report/100 118gwynedden.pdf	Huw Lloyd Jones from the Wales Audit Office	Nerys Evans January 2010		Portfolio Leader. Care Scrutiny Committee 28/6/11: part of the Protection Of Vulnerable Adults Annual Report			c
35 Inspection of the arrangements for assessing and managing the care of children in need in Gwynedd. It was inspected again in March 2011.	Social Services	Care and Social Services Inspectorate Wales	Apr-09	http://wales.gov.uk/docs/cssiw/report/110 607gwynedden.pdf	Mark Roberts	Sharron Carter Williams		May-11	Marian Parry Hughes	Gwynedd and Anglesey Safeguarding Children Board 21 October 2011	C
37 Review Gwynedd Council's Safeguarding Children Arrangements	Social Services	Care and Social Services Inspectorate Wales		http://wales.gov.uk/docs/cssiw/publication s/gwyneddsr/090925gwyneddsren.pdf		lan Vaughan Roberts	been shared with each member of staff within the Children and Families Services through a series of meetings between the teams and the Senior Manager.	s 2009-2010 Annual Report of the Gwynedd and Anglesey Local Safeguarding Children Board and the members of this Board are also members of the Children and Young People Framework Partnership, Community Safety Partnership and the Health, Care and Welfare Partnership. Gwynedd and Anglesey Local Safeguarding Children Board's Website.	Business Plan.	The Social Service Business Plan.	(
38 Review Gwynedd Local Safeguarding Children Board	Social Services	Care and Social Services Inspectorate Wales		http://wales.gov.uk/docs/cssiw/publication s/gwyneddiscb/091006gwyneddiscben.pd f	Oct-09	lan Vaughan Roberts	The report and its contents habeen shared with each member of staff within the Children and Families Services through a series of meetings between the teams and the Senior Manager.	2009-2010 Annual Report of the Gwynedd and Anglesey Local Safeguarding Children Board and the members of this Board are also members of the Children and Young People Framework Partnership, Community Safety Partnership, and the Health, Care and Welfare Partnership. Gwynedd and Anglesey Local Safeguarding Children Board's Website.  Children and Young People Scrutiny Committee	Gwynedd and Anglesey Local Safeguarding Children Board's Business Plans. The Social Service Business Plan.	Gwynedd and Anglesey Local Safeguarding Children Board's Business Plans. The Social Service Business Plan.	(
40 Gwynedd Fostering Service	Social Services	Care and Social Services Inspectorate Wales		L:\Gwasanaeth Busnes\Uned Perffo	Shirley Harkins January 2010	Mari Thomas		TRANSPORT TRANSPORT	Social Services Business Plan 2010/1	Children and Young People 1 Scrutiny Committee - progress report against recommendations presented on 30/11/2010.	(
41 Inspection of Gwynedd Councty Council Adoption Services within the wider framework of planning for permanence.	Social Services	Care and Social Services Inspectorate Wales		L'Sausanaeth Busnes\Uned Perffo	Denise Stickels, Shirley Harkins, Shirley Cox November 2010	Mari Thomas					(
42 Follow up review of Performance Management Arrangements in Social Services - Gwynedd Council	Social Services	Wales Audit Office		L'(Swasanaeth Busnes)Uned Perffo	Nigel Griffiths March 2010	Gwen Carrington					(

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Part   Company													
Company   Comp	43	Specialist Children's Services	Social Services	Care and Social Services Inspectorate Wales		L'(Gwasanaeth Busnes)Uned Perffo	Linda Owen, Shirley Harkins February 2010	Iona Griffith					0
Part   Company of the Company of t		Specialist Children's Services	Social Services			L: (Gwasaneeth Busnes)Uned Perffo		Iona Griffith					0
April   Continue of Continue		Placement Plans - Gwynedd elderly placement plans -	Social Services			L'(Ginasanaeth Busnes Uned Perfo	Rhiannon Fear	Ann Lloyd Jones					0
Part		Domiciliary Care Agency Gwynedd Specialist Childrens'	Social Services			L:\Gwasanaeth	Mar-12	Iona Griffith					0
Column		Protection Gwynedd Council	Consultancy	Wales Audit Office			Oct-09	Huw Williams		Environment Scrutiny Committee 2011			0
State   Stat	49	Review of the Civil Emergencies Arrangements	Customer Care	Wales Audit Office		au/2009/Pwyllgorau%20Craffu/Adnoddau %20a%20Chorfforaethol%20- %20Prif%20Bwyllgor%20Craffu/2009-09-		Gwenan Parry					0
Section   Control   Cont	50	A Review of the Major Emergency Plan	Customer Care		uk/ADNPwyllgorau/2009/	au/2011/Pwyllgorau%20Craffu/Prif%20B		Gwenan Parry	and 29/11/2010 and the North	Principal Scrutiny Committee 17 February 2011	Business Plan 2009/10	Business Plan 2009/10	0
Septiment Control Processing Con		Learning Needs and Support Services	Education	Estyn		http://www.estyn.gov.uk/download/public ation/169669.5/a-report-on-the-quality-of- initial-teacher-training-in-additional-	Oct-09	Dewi Jones					0
Service description of the control o	54	Tackling Child Poverty and disadvantages in Schools	Education	Estyn		8	Jan-10	Dewi Jones					0
Microsoft Control Co		Disadvantages in Schools: collaborating with the	Education	Estyn			Jul-11	Dewi Jones					0
Service control with the control with th			Strategic and Improvement	Wales Audit Office	15/07/2009		and Nigel Griffiths	Geraint George		15/7/2009 Forum of Scrutiny Chairs and Vice Chairs			0
So Negat Free Regions  So Negat Assessment and Company Regions of Company (Notes Audit Office Interest Company)  So Negative Regions of Company (Notes Audit Office Interest Company)  Region of Company (Notes Audit Office I		Mr P Rickard (Accounts 2006- 07)	Finance	Wales Audit Office				Dafydd L Edwards					0
The four internation of which is because in the control of the con		Benefit Service Review	Finance	Wales Audit Office				Dafydd L Edwards		Audit Committee 27 September 2012			0
With Referent Parkin Facility    Strain Strain State   Strain Str		(The two schemes for which Gwynedd Council received Transport Grant funding were the A497 Abererch – Llanystumdwy and A499 Aberdesach – Llanaelhaeam).	Consultancy acted for what was then the Planning and Transportation Service, now Transport and Street Care. Other council departments were obviously involved in land acquisition, legal matters etc, but the department that liaised with Welsh Government regarding funding was Transport and Street Care, while Consultancy carried out design and construction supervision, including administration of the main works contracts.			uments/Transport_English.pdf	Lloyd Jones			Environment Scrutiny Committee before the publication of the report]			N
Service software in 2012  G2 Sonutiny improvement Study progression and students and support of the students and s	60	Housing Services for adults with mental health needs	Housing and Social Services	Wales Audit Office	Draft 10/08/10	uments/Housing Mental Health eng we				Head of Department only	prepared at the moment between the 6 Councils and Health	Has not been decided.	N
62 Scrutiny Improvement Study during 2012/13 Strategic and Improvement Wales Audit Office A report is expected in March April 2013 Brait Screen Strategy and Screen Scree	61	arrangements for covering	Education	Estyn and Wales Audit Office			Steve Martin,	Hefin Owen					N
of the Independent Commission on Social Services in Wales Audit Office International Commission on Social Services in Wales Audit Office International Commission on Social Services in Wales Audit Office International Commission on Social Services in Wales Audit Office International Commission on Social Services in Wales Audit Office International Commission on Social Services in Wales Audit Office International Commission on Social Services in Wales Audit Office International Commission on Social Services in Wales Audit Office International Commission on Social Services in Wales Audit Office International Commission on Social Services in Wales Audit Office International Commission on Social Services in Wales Audit Office International Commission on Social Services in Wales Audit Office International Commission on Social Services in Wales Audit Office International Commission on Social Services in Wales Audit Office International Commission on Social Services in Wales Audit Office International Commission on Social Services in Wales Audit Office International Commission on Social Services in Wales Audit Office International Commission on Social Services in Wales Audit Office International Commission on Social Services in Wales Audit Office International Commission on Social Services in Wales Audit Office International Commission on Social Services in Wales Audit Office International Commission Inter		This work is developmental and will contribute towards developing self-assessment skills as well as scrutiny work.					Ena Lloyd, Regional Co- ordinator and Huw Lloyd Jones, Wales Audit Office						N
God   Coal Authority Reserves and   Finance   Wales Audit Office   http://www.wao.gov_uk/assets/vales_Audit Office   http://www.wao.gov_uk/asset	63	of the Independent Commission on Social	Social Services			L'(Siesanseth Bunnes(J)hed Perffo	Nov-10						N
Consultation on the Wales Audit Office   Consultation		Local Authority Reserves and Unsupported Borrowing - Research paper for Welsh	Finance	Wales Audit Office		dit Office Report Local Authority Res erves and Unsupported Borrowing July	Herniman &	Dafydd L Edwards					N
66 Consultation on the Wales Audit Office Grants Strategy Audit Office Grants Strategy Audit Office Grants Strategy Finance  67 Sustained Impact in a Time of Change - WAO Strategy Consultation 2009-2012  68 Statement of the responsibilities of the auditors general for Wales Audit Office  Wales Audit Office  Wales Audit Office  Inttp://www.wao.gov.uk/assets/englishdoc uments/AAO strategy_eng.pdf  Wales Audit Office  Inttp://www.wao.gov.uk/assets/englishdoc uments/AAO strategy_eng.pdf  Wales Audit Office  Vera Jones  Vera Jones  Vera Jones  N  N  Revin Thomas  N  N  Draft received on  Draft received on  N  Draft received on	65	Local Authority Accounts	Finance	Wales Audit Office		http://www.wao.gov.uk/assets/englishdoc uments/Local Authority Accounts 2012	Huw Vaughan	Dafydd L Edwards					N
Sustained Impact in a Time of Change - WAO Strategy Consultation 2009-2012  68 Istainent of the responsibilities of the auditors appointed by the Auditor General for Wales and his inspector, and of the bodies that they audit and inspect (2008)  Procurement and Management  Wales Audit Office  http://www.wao.gov.uk/assets/englishdoc uments/WAO strategy eng.pdf  Narch 2009, Jeremy Colman  Nere Jones  Narch 2009, Jeremy Colman  Narc		Audit Office Grants Strategy		Wales Audit Office		http://www.wao.gov.uk/assets/englishdoc	February 2011, Huw Vaughan	Dafydd L Edwards					N
responsibilities of the auditors appointed by the Auditor General for Wales and his inspectors, and of the bodies that they audit and inspect (2008)  Procurement and Management  Draft received on		Change - WAO Strategy Consultation 2009-2012					March 2009, Jeremy Colman						N
3 riodulement and management		responsibilities of the auditors appointed by the Auditor General for Wales and his inspectors, and of the bodies that they audit and inspect	Finance	Wales Audit Office				Harry Thomas					N
	69 <sub>3</sub>	Frocurement and Management		Wales Audit Office			Jeremy Morgan	Geraint George					N